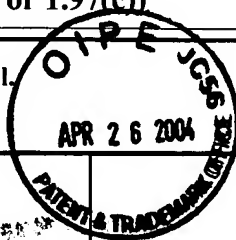


TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
TCHP:101 US

In Re Application Of: **Gerald ARSENAULT et al.**



Serial No.
10/626,145

Filing Date
07/24/2003

Examiner
unknown

Group Art Unit
3676

Title: **CASTER ASSEMBLY**

Address to:
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

37 CFR 1.97(b)

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

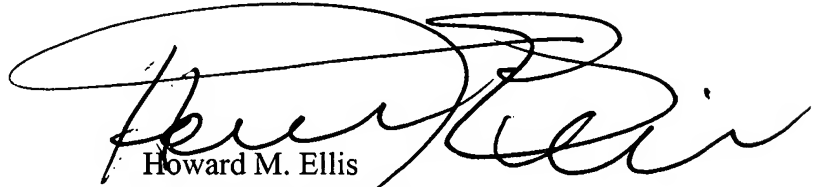
OR

☐ the fee set forth in 37 CFR 1.17(p).

accordance with 37 CFR §1.97(b). No fees are believed to be due in connection with the filing of this Information Disclosure Statement.

Applicants respectfully requests that the listed documents be made of record in the present case.

Respectfully submitted,

A large, stylized handwritten signature in black ink, appearing to read 'Howard M. Ellis', is written over the typed name and address.

Howard M. Ellis
Attorney for Applicant(s)
Registration No.: 25,856
SIMPSON & SIMPSON, PLLC
5555 Main Street
Williamsville, NY 14221
716-626-1564

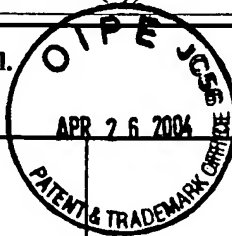
Date: _____

4/22/04

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
TCHP:101 US

In Re Application: Gerald ARSENAULT et al.



Serial No.
10/626,145

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07/24/2003

Examiner
unknown

Group Art Unit
3676

CASTER ASSEMBLY

Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
- ☐ The Director is hereby authorized to charge and credit Deposit Account as described below.
- ☐ Charge the amount of _____
- ☐ Credit any overpayment.
- ☐ Charge any additional fee required.

Certificate of Transmission by Facsimile*

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. _____) on _____

(Date)

Signature

Typed or Printed Name of Person Signing Certificate

Certificate of Mailing by First Class Mail

I certify that this document and fee is being deposited on April 22, 2004 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Signature of Person Mailing Correspondence

Typed or Printed Name of Person Mailing Certificate

*This certificate may only be used if paying by deposit account.

Signature

Dated: April 22, 2004

Howard M. Ellis

Registration No.: 25,856

SIMPSON & SIMPSON, PLLC

5555 Main Street

Williamsville, New York 14221

716-626-1564

CUSTOMER NUMBER: 24041

CC:



U.S. UTILITY PATENT APPLICATION: 10/626,145
ATTORNEY DOCKET NO. TCHP:101 US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the Matter of United States Patent Application:

Applicant(s): Gerald ARSENAULT et al.

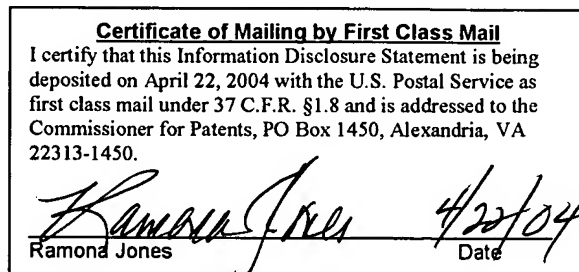
Application No.: 10/626,145

Filed: July 24, 2003

For: CASTER ASSEMBLY

Examiner: unknown

Art Unit: 3676



INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

Honorable Sir:

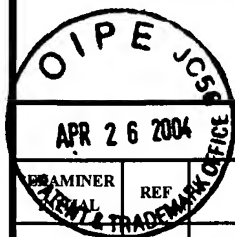
In compliance with the duty of disclosure under 37 CFR §1.56, it is respectfully requested that this Information Disclosure Statement be entered and the documents listed on attached Form PTO-1449 be considered by the Examiner. Copies of the listed documents required by 37 CFR § 1.98(a), (4) are enclosed for the convenience of the Examiner.

In accordance with 37 CFR §1.97(h), this Information Disclosure Statement is not to be construed to be an admission that the information cited is, or is considered to be, material to patentability as defined in 37 CFR §1.56(b).

The present Information Disclosure Statement is being filed prior to receipt of a first Office Action reflecting an examination on the merits, and hence is believed to be timely filed in

INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)



Docket Number (Optional)

TCHP:101 US

Application Number

10/626,145

Applicant(s)

Gerald ARSENAULT et al.

Filing Date

07/24/2003

Group Art Unit

unknown

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	1	2,800,679	07/30/1957	E.H. Schultz, Jr.			07/07/1953
	2	3,423,786	01/28/1969	H. Aria, Jr. et al.			06/23/1966
	3	3,685,852	08/22/1972	Wendorf et al.			09/02/1970
	4	4,843,678	07/04/1989	Park			07/07/1988
	5	4,817,237	04/04/1989	Murphy			05/02/1988

FOREIGN PATENT DOCUMENTS

	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.